



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,602	04/05/2001	Geoffrey Cooper	SECU0001

CONFIRMATION NO. 4034

22862  
GLENN PATENT GROUP  
3475 EDISON WAY  
SUITE L  
MENLO PARK, CA 94025

FORMALITIES LETTER



Date Mailed: 05/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ✓ • The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- ✓ • Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/30/2001 MYUSNFI 0010047 07445 98326962

01 PD:205 25/01/01

*A copy of this notice **MUST** be returned with the reply.*

*Shaine*

09/826,602

Express Mail mailing label no. EL556470281US

Date of Deposit: July 23, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.



Jessica Pallach

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

re the application of

Sherlock et al.

Serial No. 09/826,602

Filed: 4/5/01

For: User Interface for a Security Policy System  
and Method

Examiner: Unassigned

Art Unit: Unassigned

July 23, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents  
and Trademarks  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed May 23, 2001, enclosed herewith are the following:

- Original Declaration signed by all 7 inventors;
- Assignment and Recordation Cover Sheet;
- 33 Sheets of formal drawings;
- Copy of Missing Parts Notice; and
- Return Postcard

The Commissioner is authorized to charge the surcharge and recordation fees of \$105.00 and any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SECU0001). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

Attorney Docket No. SECU0001

0926602 02301